

**Operation Name:** \_\_\_\_\_ **Date:** \_\_\_\_\_

▶ Complete this section for the Operation seeking to become Regenerative Organic Certified™ with the Regenerative Organic Alliance (ROA). The Operation Name above must be a legal entity. The Operation Name must be the same name on the approved baseline organic certificate.

▶ The certification process includes an application to the Regenerative Organic Alliance and an audit to be performed by one of the ROC Approved Certification Bodies. **Application information from this document and the ROSP (described below) will be made available to the ROA and the certification body assigned to execute the annual audit process.**

Unless exempt or excluded, an operation intending to sell, label, or represent agricultural products as Regenerative Organic Certified™ (ROC™) must develop a Regenerative Organic System Plan (ROSP) that is agreed to by operation and the Regenerative Organic Alliance. An annual on-site inspection will be conducted to verify that the information provided in the ROSP accurately reflects the practices in place.

### A. Operation information

1) Business Name:

DBA: \_\_\_\_\_

Website: \_\_\_\_\_

Phone number: \_\_\_\_\_

2) Primary physical location of your farming operation\*:

A. Please describe all stages and locations of production. Attach an additional list if necessary:

Address/es: \_\_\_\_\_

*\*If applying for GOLD level ROC™ certification, one or more major stages of processing must be inspected to the ROC Framework.*

### B. Contact Information

1) **Legally Responsible Contact** (CEO, COO, Executive Director, Managing Director, or Registered Agent)

Name: \_\_\_\_\_ Title: \_\_\_\_\_

Phone: \_\_\_\_\_ Email(s): \_\_\_\_\_

2) **Primary Contact:** designate one person in your operation to be the Regenerative Organic Alliance's Primary Contact. This person must be knowledgeable about your ROSP, the ROC Framework, and have the authority to act on behalf of the company.

Name: \_\_\_\_\_ Title: \_\_\_\_\_

Phone: \_\_\_\_\_ Email(s): \_\_\_\_\_

3) **Additional Contacts:** list all people at your operation who are authorized to conduct inspections, meet with auditors, modify the ROSP, or otherwise act on behalf of the company. Attach an additional list if necessary.

## Operation Information & Certification Contract

Name/Title:

Phone:

Email:

Name/Title:

Phone:

Email:

Name/Title:

Phone:

Email:

### C. Annual Fee- See ROC Cost & Fee Structure for additional information regarding fees

1) The ROA will estimate your ROC annual certification fee based on the information you provide in this document. Please refer to ROC Cost & Fee Structure for distinct fee brackets and categories. Certifiers will assess fees based on size, scope, complexity, and location of operations.

### D. Certification Contract and Agreement

The following must be signed by a legally authorized representative of any operation by all applicants.

By signing this document, the applicant acknowledges that it has received, read, fully understands, and agrees to be bound by the terms of the ROC program and further agrees to comply with ROC standards, procedures and policies set forth in the ROC Framework and Governing Documents, including but not limited to the following:

- 1) Establishing, implementing, and updating annually a Regenerative Organic System Plan (ROSP) that will be submitted to the ROA and assigned certification body.
- 2) Permitting on-site inspections with complete access to the production or handling aspects of the operation, including non-certified production areas, structures, or offices for the ROA and certifying body. These inspections may be announced or unannounced at the discretion of the certifying body or as required by an accreditation authority, government entity with jurisdiction, or other governing body.
- 3) Allowing authorized representatives of the ROA, an accreditation authority, government entity with jurisdiction, or other governing body access to these records under normal business hours for review and copying to determine compliance with the applicable standards, regulations, or governing law.
- 4) Understanding that the ROA and the certifying body may use subcontractors for inspecting, testing, and other technical services, as necessary.
- 5) Submitting to the ROA any applicable fees as described on the most current ROC Cost & Fee Structure.
- 6) Immediately notifying the ROA of any changes in your certified operation or portion of it that may affect its compliance with the applicable standards, regulations or governing law.
- 7) Using the ROC™ name and seal(s) only in accordance with ROC standards and ceasing all use of ROC™'s name and seal upon notice by the ROA. Any use of ROC™'s names or marks, without the express consent of the ROA, is strictly prohibited and constitutes an infringement of the ROA's rights. The ROA shall be entitled to its reasonable attorney's fees and costs incurred in bringing any civil action, arbitration, or mediation to enforce its rights to its names or marks.
- 8) Destroying all packaging and certificate(s) upon notice from the ROA.
- 9) Understanding that the use of the ROC™ name and seal must be in accordance with the ROC Framework, Labeling Guidelines & Terms of Use, and License Agreement.

- 10) Authorizing the ROA to list certified parcel crops, products, services, and acreage on operation’s certificate and in the ROC Online Directory.
  
- 11) Authorizing the ROA to share and distribute, through an open-source platform, all soil testing results and metadata (including GPS coordinates of soil tests disassociated from Operation Name and address).
  
- 12) Immediately ceasing all claims of ROC™ certification associated with this operation, and destroying all certificates, labeling, and marketing material containing reference to the ROA in the event that this operation withdraws, or its certification is suspended or revoked.
  
- 13) Agreeing to be legally bound by the terms of the ROC Program Manual, including but not limited to the paragraphs entitled “Consent to Electronic Submission,” “Governing Law,” “Consent to Jurisdiction,” “Indemnification,” “Dispute Resolution,” and “Limit of Liability.”

I, the owner or legally authorized representative, acknowledge the above General Requirements for Regenerative Organic Certified™ certification and understand that any willful misrepresentation may be cause for denial of an application and sanctioning of certification. I authorize the person(s) listed above to act on behalf of my company in establishing or maintaining organic certification. I attest that all information in this application is true and accurate to the best of my knowledge:

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Name/Title	Date
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Signature
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